

## EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
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## EAST Search History

L1	149	(US-20040236546-\$ or US-20040117285-\$ or US-20040088239-\$ or US-20040039675-\$ or US-20040024679-\$ or US-20040024678-\$ or US-20040024677-\$ or US-20040015431-\$ or US-20040015430-\$ or US-20030220868-\$ or US-20030208432-\$ or US-20060206374-\$ or US-20030212622-\$ or US-20030212621-\$ or US-20030208429-\$ or US-20030126058-\$ or US-20030182220-\$ or US-20030065601-\$ or US-20030126054-\$ or US-20030046212-\$ or US-20030036989-\$ or US-20030236738-\$ or US-20030028459-\$ or US-20030009409-\$ or US-20030004845-\$ or US-20020099640-\$).did. or (US-20020156714-\$ or US-20030014345-\$ or US-20030009408-\$ or US-20020152151-\$ or US-20020147671-\$ or US-20020138386-\$ or US-20020138383-\$ or US-20020184131-\$ or US-20020178100-\$ or US-20020082966-\$ or US-20020062272-\$ or US-20020062271-\$ or US-20020116310-\$ or US-20020046145-\$ or US-20020032626-\$ or US-20020077946-\$ or US-20020013752-\$ or US-20020049659-\$ or US-20010051907-\$ or US-20010044766-\$ or US-20010042037-\$ or US-20010039525-\$ or US-20020019790-\$ or US-20020016756-\$ or US-20020002520-\$ or US-20010025264-\$ or US-20010011243-\$).did. or (US-20060010064-\$ or US-20020178096-\$ or US-20020147670-\$ or	US-PGPUB; USPAT	OR	ON	2007/03/13 12:43
3/13/2007 2:49:38 PM	US-20020128939-\$ or C:\Documents and Settings\newton\My Documents\EAST\Workspaces\10084905\BM_PACE_portfolio.wsp US-20030130917-\$ or US-20030014356-\$ or				Page 2	

## EAST Search History

L2	149	L1	US-PGPUB; USPAT; USOCR	OR	ON	2007/03/13 12:43
L3	400863	ble	US-PGPUB; USPAT; USOCR	OR	ON	2007/03/13 12:43
L4	109	l1 and table	US-PGPUB; USPAT	OR	ON	2007/03/13 14:30
L5	67	l4 and object	US-PGPUB; USPAT	OR	ON	2007/03/13 12:43
L6	16	(("6510419") or ("6453303") or ("7020631") or ("6633875") or ("6078903") or ("6021397") or ("5946666") or ("5893079") or ("5749077") or ("5220500") or ("5148365") or ("4774664") or ("20030009408") or ("20020178100") or ("20020046145") or ("20030065601")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/03/13 14:31
S1	4	("4566066" "5930762" "6016477" "6161098").PN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/03/09 16:23
S2	1	"20020184133"	US-PGPUB; USPAT; USOCR	OR	ON	2007/03/09 13:09
S3	1247	(705/36R).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2006/10/30 10:21
S4	740	S3 and portfolio	US-PGPUB; USPAT; USOCR	OR	ON	2006/10/30 10:22
S5	599	S3 and (portfolio database risk model analysis).ab.	US-PGPUB; USPAT; USOCR	OR	ON	2006/11/02 12:39
S7	0	S3@pd<="10092001"	US-PGPUB; USPAT; USOCR	OR	ON	2006/10/30 15:47
S13	1	("2001/0051906").URPN.	USPAT	OR	ON	2006/10/31 12:58
S14	3	("2001/0051907").URPN.	USPAT	OR	ON	2006/10/31 12:58
S17	1	((portfolio) and ((edit\$3 check\$3 updat\$4 correct\$3) and (data database)) and (model (mathematical near2 tool)) and (risk) and (webpage website network internet) and (estimat\$3 predict\$3 predictability) and (performance return)).clm.	US-PGPUB; USPAT; USOCR	OR	ON	2006/11/01 09:53

## EAST Search History

S18	493	((portfolio) and ((verify edit\$3 check\$3 updat\$4 correct\$3) near2 (data database)) and (model (mathematical near2 tool)) and (risk) and (report diagnostic) and (webpage website network internet) and (estimat\$3 predict\$3 predictability) and (performance return))	US-PGPUB; USPAT; USOCR	OR	ON	2006/11/01 10:00
S22	1247	(705/36R).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2006/11/01 10:00
S23	599	S22 and (portfolio database risk model analysis).ab.	US-PGPUB; USPAT; USOCR	OR	ON	2006/11/01 10:00
S24	462	S18 not S23	US-PGPUB; USPAT; USOCR	OR	ON	2006/11/01 10:34
S25	43559	("705").CLAS.	US-PGPUB; USPAT; USOCR	OR	OFF	2006/11/02 12:42
S26	1	("20020178096").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2006/11/02 12:44
S27	17	(database and analy\$4 and (report display output) and model and risk and (instrument investment portfolio) and (factor attribute characteristic definition return)).clm.	US-PGPUB; USPAT; USOCR	OR	ON	2006/11/02 12:44

## EAST Search History

S28	77	(US-20040236546-\$ or US-20040117285-\$ or US-20040088239-\$ or US-20040039675-\$ or US-20040024679-\$ or US-20040024678-\$ or US-20040024677-\$ or US-20040015430-\$ or US-20030212622-\$ or US-20030220868-\$ or US-20030212621-\$ or US-20030208429-\$ or US-20040015431-\$ or US-20030182220-\$ or US-20030208432-\$ or US-20030126058-\$ or US-20020178096-\$ or US-20020128939-\$ or US-20030046212-\$ or US-20030036989-\$ or US-200300655601-\$ or US-20030014345-\$ or US-20030004845-\$ or US-20020184131-\$ or US-20020178100-\$ or US-20030028459-\$).did. or (US-20030009409-\$ or US-20030009408-\$ or US-20020152151-\$ or US-20020147671-\$ or US-20020138386-\$ or US-20020138383-\$ or US-20020116310-\$ or US-20020156714-\$ or US-20020077946-\$ or US-20010051907-\$ or US-20020062272-\$ or US-20020049659-\$ or US-20020032626-\$ or US-20020019790-\$ or US-20020016756-\$ or US-20020062271-\$ or US-20020013752-\$ or US-20020046145-\$ or US-20010044766-\$ or US-20010042037-\$ or US-20010025264-\$ or US-20010011243-\$ or US-20020002520-\$ or US-20010039525-\$ or US-20060206374-\$ or US-20060010064-\$).did. or (US-6847944-\$ or US-7050997-\$ or US-7020631-\$ or US-7010510-\$ or US-7120599-\$ or US-6968316-\$ or US-6799167-\$ or US-6681211-\$ or US-6847945-\$ or US-6484158-\$ or US-6453303-\$ or US-6633875-\$ or US-6405179-\$ or US-6360210-\$ or	US-PGPUB; USPAT	OR	ON	2006/11/02 12:44
3/13/2007 2:49:38 PM	C:\Documents and Settings\newton\My Documents\FAST\Workspaces\10084905_BM_PACE_portfolio.wsp					Page

## EAST Search History

S29	17	S27 not S28	US-PGPUB; USPAT; USOCR	OR	ON	2006/11/02 12:44
S30	0	("2002/0184133").URPN.	USPAT	OR	ON	2006/11/02 12:53
S31	0	("2002/0184133").URPN.	USPAT	OR	ON	2006/11/02 14:26
S32	1	("6453303").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2006/11/02 14:26
S33	8	("5864828"   "6018722"   "6078904"   "6088676").PN. OR ("6453303").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2006/11/02 14:27
S34	32	("20010011437"   "20010032161"   "20010037182"   "20020022928"   "20020022929"   "20020023052"   "20020032644"   "20020035431"   "20020059091"   "20020082982"   "20030009286"   "20030018431"   "20030225654"   "4712424"   "4992942"   "5467271"   "5771169"   "5845229"   "5870689"   "5878371"   "5884224"   "6044324"   "6119531"   "6141614"   "6327569"   "6453303"   "6505146"   "6651005"   "6751576"   "6778872"   "6865542"   "6865582").PN. OR ("7047135").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2006/11/02 14:31
S35	26	(goldman and sachs).as.	US-PGPUB; USPAT; USOCR	OR	ON	2006/12/15 15:21
S36	1	("20010051907").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2006/12/22 19:55
S37	1	("6453303").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2006/12/22 22:14
S38	1	("6968316").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2006/12/22 22:27
S39	1	("20020046145").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2006/12/23 16:19
S40	1	("5220500").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2006/12/23 16:19
S41	55	("4903201"   "4989141").PN. OR ("5220500").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2006/12/23 18:06

## EAST Search History

S42	68	("4346442"   "4376978"   "4566066"   "4597046"   "4642768"   "4648038"   "4674044"   "4750121"   "4751640"   "4774663"   "4910676"   "4933842"   "4953085"   "4980826"   "4989141"   "4994964"   "5038284"   "5101353"   "5126936"   "5132899"   "5148365"   "5193056"   "5202827"   "5210687"   "5214579"   "5220500"   "5227967"   "5262942"   "5270922"   "5297032"   "5375055"   "5497317"   "5517406"   "5649116"   "5664115"   "5671363"   "5689650"   "5689652"   "5704045"   "5710889"   "5724524"   "5729700"   "5745706"   "5749077"   "5758097"   "5761441"   "5761442"   "5765141"   "5774881"   "5784696"   "5794219"   "5799287"   "5806047"   "5806049"   "5812987"   "5819237"   "5873071"   "5918217"   "5918218"   "5930774"   "5946666"   "5978778"   "5999918"   "6018722"   "6021397"   "6035287"   "6044352"   "6393409").PN. OR ("7117176"). URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2006/12/23 18:08
S43	41	("4064490"   "4334270").PN. OR ("4566066").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2006/12/23 20:42
S44	0	((interface window) and (edit modify update) and (portfolio securities) and (database) and (model\$3) and (warehouse module) and (attribute factor characteristic) and risk).ab.	US-PGPUB; USPAT; USOCR	OR	ON	2006/12/23 20:44
S45	3	((interface window) and (edit modify update) and (portfolio securities) and (database) and (model\$3) and (warehouse module) and (attribute factor characteristic) and risk).clm.	US-PGPUB; USPAT; USOCR	OR	ON	2006/12/23 21:01
S46	0	g06f17/60.ipc.	FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2006/12/23 21:02
S47	211767	g06f017/60.ipc.	FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2006/12/23 21:02

## EAST Search History

S48	950	S47 and portfolio	FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2006/12/23 21:02
S49	114	S48 and model	FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2006/12/23 21:02
S50	7	S49 and (modify edit correct update)	FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2006/12/23 21:02
S51	24	plzak.inv.	US-PGPUB; USPAT; USOCR	OR	ON	2006/12/27 15:27
S52	31	("4744026"   "4744027"   "4744028"   "4953085"   "5051745"   "5148365").PN. OR ("5930762"). URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2006/12/27 15:39
S53	97	("4346442"   "4642768"   "4674044"   "4694397"   "4722055"   "4744026"   "4744027"   "4744028"   "4752877"   "4797839"   "4953085").PN. OR ("5148365"). URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2006/12/27 16:27
S54	41	("4064490"   "4334270").PN. OR ("4566066").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2006/12/27 18:33
S55	45	"historical simulation"	US-PGPUB; USPAT; USOCR	OR	ON	2007/03/09 13:09
S56	39	S55 and (portfolio investment)	US-PGPUB; USPAT; USOCR	OR	ON	2007/03/09 13:09
S57	26	S56 and database	US-PGPUB; USPAT; USOCR	OR	ON	2007/03/09 13:22
S58	0	("2003/0130917").URPN.	USPAT	OR	ON	2007/03/09 13:29

## EAST Search History

S59	47	("20020019791" "20020022988" "20020082966" "3270190" "5006998" "5132899" "5365425" "5500795" "5502637" "5608620" "5613072" "5675746" "5749077" "5761442" "5774880" "5774881" "5802518" "5812988" "5819271" "5852811" "5864871" "5893079" "5909669" "5911136" "5918217" "5946666" "5948054" "5950176" "5956691" "5963922" "6012042" "6012043" "6021397" "6064986" "6119103" "6125355" "6154732" "6236980" "6253192" "6317726" "6370516" "6510419" "6064984" "6073115" "6208720" "6792399").PN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/03/09 16:54
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## EAST Search History

S60	137	(US-20040044505-\$ or US-20030236738-\$ or US-20030130917-\$ or US-20030126054-\$ or US-20030014356-\$ or US-20030009408-\$ or US-20020147670-\$ or US-20020099640-\$ or US-20040236546-\$ or US-20040117285-\$ or US-20040088239-\$ or US-20040024679-\$ or US-20040024678-\$ or US-20040024677-\$ or US-20040015431-\$ or US-20040015430-\$ or US-20040039675-\$ or US-20030212622-\$ or US-20030208432-\$ or US-20030208429-\$ or US-20030220868-\$ or US-20030212621-\$ or US-20030182220-\$ or US-20030126058-\$ or US-20020178096-\$ or US-20020128939-\$).did. or (US-20030065601-\$ or US-20030004845-\$ or US-20030046212-\$ or US-20030036989-\$ or US-20020184131-\$ or US-20020178100-\$ or US-20030028459-\$ or US-20030009409-\$ or US-20030014345-\$ or US-20020156714-\$ or US-20020147671-\$ or US-20020138383-\$ or US-20020116310-\$ or US-20020152151-\$ or US-20020138386-\$ or US-20020077946-\$ or US-20020062272-\$ or US-20020062271-\$ or US-20020049659-\$ or US-20020019790-\$ or US-20020046145-\$ or US-20020013752-\$ or US-20010051907-\$ or US-20010044766-\$ or US-20010042037-\$ or US-20020032626-\$ or US-20010039525-\$).did. or (US-20010025264-\$ or US-20010011243-\$ or US-20020016756-\$ or	US-PGPUB; USPAT	OR	ON	2007/03/09 16:54
3/13/2007 2:49:38 PM		US-20020002520-\$ or C:\Documents and Settings\newton\My Documents\EAST\Workspaces\10084905\BM_PACE_portfolio.wsp US-20060206374-\$ or US-20060010064-\$ did or			Page 10	

## EAST Search History

S61	38	S59 not S60	US-PGPUB; USPAT	OR	ON	2007/03/09 16:54
S62	15	("3270190").URPN.	USPAT	OR	ON	2007/03/09 17:26
S63	1	("20030065601").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/03/12 09:26
S64	1	("5255801").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/03/12 09:26

## EAST Search History

S65	149	(US-20040236546-\$ or US-20040117285-\$ or US-20040088239-\$ or US-20040039675-\$ or US-20040024679-\$ or US-20040024678-\$ or US-20040024677-\$ or US-20040015431-\$ or US-20040015430-\$ or US-20030220868-\$ or US-20030208432-\$ or US-20060206374-\$ or US-20030212622-\$ or US-20030212621-\$ or US-20030208429-\$ or US-20030126058-\$ or US-20030182220-\$ or US-20030065601-\$ or US-20030126054-\$ or US-20030046212-\$ or US-20030036989-\$ or US-20030236738-\$ or US-20030028459-\$ or US-20030009409-\$ or US-20030004845-\$ or US-20020099640-\$).did. or (US-20020156714-\$ or US-20030014345-\$ or US-20030009408-\$ or US-20020152151-\$ or US-20020147671-\$ or US-20020138386-\$ or US-20020138383-\$ or US-20020184131-\$ or US-20020178100-\$ or US-20020082966-\$ or US-20020062272-\$ or US-20020062271-\$ or US-20020116310-\$ or US-20020046145-\$ or US-20020032626-\$ or US-20020077946-\$ or US-20020013752-\$ or US-20020049659-\$ or US-20010051907-\$ or US-20010044766-\$ or US-20010042037-\$ or US-20010039525-\$ or US-20020019790-\$ or US-20020016756-\$ or US-20020002520-\$ or US-20010025264-\$ or US-20010011243-\$).did. or (US-20060010064-\$ or US-20020178096-\$ or US-20020147670-\$ or	US-PGPUB; USPAT	OR	ON	2007/03/13 10:10
3/13/2007 2:49:38 PM		US-20020128939-\$ or US-20030130917-\$ or US-20030014356-\$ or				Page 12

## EAST Search History

S66	119	S65 and risk	US-PGPUB; USPAT	OR	ON	2007/03/13 10:10
S67	51	S65 and risk near5 model	US-PGPUB; USPAT	OR	ON	2007/03/13 10:10
S68	41	S65 and risk near2 model	US-PGPUB; USPAT	OR	ON	2007/03/13 10:10
S69	3	S65 and (risk near2 model).ab.	US-PGPUB; USPAT	OR	ON	2007/03/13 10:17
S70	1	("6453303").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/03/13 10:17